

DECISION no. 2.794 of 17 December 2014

For approval of Regulations for attestation of energy managers and accreditation of companies providers of energy services and Regulations for authorization of energy auditors in industry

ISSUER: Department for Energy Efficiency

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Whereas the provisions of [art. 9 para. \(1\) let. x\) of Government Emergency Ordinance no. 33/2007](#) for organization and functioning of Romanian Energy Regulatory Authority, approved as amended and supplemented by [Law no. 160/2012](#), and [art. 3 para. \(2\) let. g\) of Law no. 121/2014](#) for energy efficiency,

By virtue of the provisions of art. 3 para. (2) let. a) of art. 3 para. (4) and [art. 9 para. \(8\) of Law no. 121/2014](#) for energy efficiency,

The Head of Department for Energy Efficiency issues the following decision:

ART. 1

We approve the Regulations for attestation of energy managers and accreditation of companies providers of energy services, provided in annex no. 1*), which is part of this decision.

ART. 2

We approve the Regulations for authorization of energy auditors in industry, set out by annex no. 2*), which is part of this decision.

*) Annexes no. 1 and 2 are published in Official Gazette of Romania, Part I, no. 25 bis, which can be purchased from the Public Relations Centre of the Autonomous Administration "Official Gazette", Bucharest, 1 Panduri Road.

ART. 3

The organizational entities from the Romanian Energy Regulatory Authority monitor the observance of provisions of this decision.

ART. 4

This decision is published in Official Gazette of Romania, Part I.

ART. 5

At the date of coming into force of this decision we repeal:

a) Order of president of Romanian Energy Regulatory Authority no. 38/2013 for approval of Regulations for authorization of energy auditors and Regulations for attestation of energy managers and accreditation of companies providers of energy services, published in Official Gazette of Romania, Part I, no. 400 of 3rd July 2013;

b) [Decision of president of Romanian Agency for Preservation of Energy no. 57/2003](#) for approval of Guidelines for preparation and examination of trainees in the field of elaboration of energy balances, published in Official Gazette of Romania, Part I, no. 425 of 17 June 2003;

c) [Decision of president of Romanian Agency for Preservation of Energy no. 58/2003](#) for approval of Guidelines for preparation and examination of trainees in the field of elaboration of energy management, published in Official Gazette of Romania, Part I no. 423 of 17 June 2003.

Head of Department for Energy Efficiency,
Emil Calotă

Bucharest, 17 December 2014.
No. 2.794.

ANNEX 1

REGULATIONS

For attestation of energy managers
And accreditation of companies providers of energy services

CHAPTER I

General Provisions

ART. 1

(1) The Regulations for attestation of energy managers and accreditation of companies providers of energy services, hereinafter referred to as Regulations, establish the conditions for attestation of energy managers and accreditation of companies providers of energy services.

(2) The object of these Regulations consists of:

a) attestation of energy managers who assure the energy management of economic operators with activity in the sectors: industry, transportation, services, called energy managers for industry;

b) attestation of energy managers who assure the energy management for the towns with over 20000 inhabitants, called energy managers for towns;

c) accreditation of companies providers of energy services which assure the energy management of economic operators with activity in sectors: industry, transportation, services and/or energy management for the towns with over 20000 inhabitants.

ART. 2

(1) The attestation has as purpose the official recognition at national level of technical specialized competence of energy managers by issue of two types of certificates of energy manager: certificate of energy manager for industry and certificate of energy manager for towns.

(2) The access of participants on the market which offers energy services is based on transparent and non-discriminatory criteria.

ART. 3

The specialized terms of these Regulations are defined in Annex no. 1 to Regulations.

ART. 4

The attestation of natural persons as energy manager for industry, energy manager for towns and accreditation of companies, providers of energy services is made by the Department for Energy Efficiency from the Romanian Energy Regulatory Authority, hereinafter referred to as ANRE-DEE.

CHAPTER II

Conditions for obtaining the permit ANRE-DEE by the providers of professional training for organization of specialized courses in the field of energy management

ART. 5

(1) The specialized courses in the field of energy management are organized by higher education institutions in the electric/energy field and professional training companies authorized according to legislation in the field, hereinafter referred to as providers of professional training, who obtained the permit ANRE-DEE for organization of these courses. They organize energy management courses for industry and energy management courses for towns.

(2) The energy managers who assure the energy management of economic operators with activity in the sectors : industry, transportation, services have to graduate an energy management course for industry and the energy managers who assure the energy management for towns with over 20000 inhabitants have to graduate an energy management course for towns.

ART. 6

To get the permit ANRE-DEE, in view of organization of specialized courses in the field of energy management enumerated at art. 5 para. (1), the professional training providers have to fulfill the following requirements:

- a) experience in training of minimum 10 years in the field of energy;
- b) existence of a team of minimum four university professors with specialization in energy field;
- d) existence of an adequate material base, which consists of specialized laboratories, has the necessary equipment which covers all the chapters from the analytical curriculum.

ART. 7

The professional training providers will submit to ANRE-DEE a file which includes:

- a) request for permit for organization of specialized courses in the field of energy management;
- a) articles of association of institution;
- b) proof of training experience of minimum 10 years in energy field;
- c) list of trainers, Curriculum Vitae of each of them, copies of bachelor's/master's/Ph.D. degrees and copies of employment contracts for each trainer;
- d) list of specialized laboratories and other installations with experimental nature which can serve as material base for the practical training in the field of elaboration of energy auditors;
- e) a declaration signed by the authorized representative by which the professional training providers take the responsibility for observance of analytical curriculum approved by ANRE-DEE for specialized courses in the field of energy management.

ART. 8

The professional training providers can form consortia which organize by cooperation the carrying out of specialized courses in the field of energy management, with observance of the provisions of art. 6 and art. 7. In this case the consortium receives the approval of ANRE-DEE for organization of specialized courses.

ART. 9

The analytical curriculum of specialized courses, approved by ANRE-DEE, is published on the website ANRE.

ART. 10

The documents presented in art. 7 are analyzed by the Commission for license of energy managers, appointed by decision of the Head of DEE. The Commission proposes the Head of DEE the favorable permit or the refusal of permit, as applicable. The permit is valid only for the period when the requirements of article 6 are observed, otherwise it is withdrawn.

ART. 11

At the graduation of specialized courses in the field of energy management, the higher education institutions from electric/energy field and the professional training companies issue graduation certificates to the trainees who graduated the tests.

ART. 12

The list of professional training providers who received the permit for organization of specialized courses in the field of energy management is published on the website ANRE and is permanently updated by the specialized division of ANRE-DEE.

ART. 13

The professional training providers who received the permit for organization of specialized courses in the field of energy management notify ANRE-DEE the changes occurred in the conditions at the base of granting the permit, within 7 working days from their occurrence.

CHAPTER III

The conditions for obtaining the certificate of energy manager and accreditation of companies providers of energy services

ART. 14

(1) For the obtaining of certificate of energy manager, the natural persons have to fulfill the following conditions:

- a) to have full capacity of exercise;
- b) to present the criminal record certificate issued according to the law;
- c) to have the professional training, specialization and experience in the field, in the conditions of Regulations.

(2) Professional experience refers to teaching activity, research, design, execution or exploitation, accumulated in the technical fields mentioned in the following table:

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No. crt.	Type of energy	Professional training and specialization	Minimum duration necessary	Training course	
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	manager Certificate		of professional experience in specialty	in energy management field
1	Energy manager for industry	Graduates of bachelor's degree in field: energy engineering	2 years	Necessary
		Graduates of bachelor's degree in the fields: - civil engineering - engineering of installations - electric engineering - mines, oil and gases - mechanical engineering - industrial engineering - engineering and management - chemical engineering - electronic and telecommunication engineering - transportation engineering - materials engineering	3 years	Necessary
2	Energy manager for towns	Graduates of bachelor's degree in field: energy engineering	2 years	Necessary
		Graduates of bachelor's degree in the fields: - civil engineering - engineering of installations - electric engineering - mines, oil and gases - mechanical engineering - industrial engineering - engineering and management - chemical engineering - electronic and telecommunication engineering - transportation engineering - materials engineering - environmental engineering	5 years	Necessary

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(3) The requirement regarding the training in the field of energy management is fulfilled by graduation of a specialized course according to art. 5 para. (2), organized by the professional training providers set out by art. 5 para. (1), or by graduation of master's courses in energy efficiency in one of the faculties which received the approval ANRE-DEE or by obtaining the Ph.D. degree in one of the eligible fields set out by art. 14 para. 2.

(4) In order to obtain the accreditation as company provider of energy services the legal persons have to fulfill the following conditions:

a) to provide in the object of activity the provision of energy services and/or engineering activities and technical consulting related to these activities;

b) to have minimum one energy manager for industry and/or towns certified according to the law, hired based on individual employment contract; for the limited companies the associate/associates has/have the right to provide the services of certified energy manager according to the companies' law.

CHAPTER IV

Procedure for attestation of energy managers

SECTION 1

General provisions

ART. 15

(1) The Attestation of energy managers commission analyses the documentations submitted by applicants and proposes the head of ANRE-DEE:

- awarding of energy manager certificate;
- change of energy manager certificate;
- extension of energy manager certificate;
- suspension of energy manager certificate;
- withdrawal of energy manager certificate;
- issue of duplicate of energy manager certificate;
- accreditation of companies providers of energy services.

(2) By Decision of Head of ANRE-DEE it is appointed the Commission for resolution of complaints submitted by the interested parties. The refusal of awarding the certificate is motivated, by specifying the legal means of appeal.

ART. 16

The deadline for analysis of documentation submitted by applicants is 30 days from the date of its full submission.

ART. 17

(1) The register of energy managers and companies providers of energy services is elaborated under the form of written document, published on the website www.anre.ro, being permanently updated. The register contains three parts: energy managers for industry, energy managers for towns and companies providers of

energy services. The contact data of energy managers who have the capacity of self-employed person is written in the Register of companies providers of energy services.

(2) The information included in the Register of energy managers for industry, towns and companies providers of energy services is presented under the form of table and contains the following data:

a) economic operator who appointed the energy manager for industry, address of economic operator, surname and forename of energy manager; number of his certificate, type of certificate (for industry or towns), the date until the certificate is valid, contact data (telephone, fax and email address) for energy managers;

b) the local authority who appointed the energy manager for town, address of institution, name of energy manager, number of his certificate, type of certificate (for industry or towns), the date until the certificate is valid, contact data (telephone, fax and email address) for energy managers

c) name of company provider of energy services, address of company, name of certified energy manager, number of his certificate, type of certificate (for industry or towns), the date until the certificate is valid, contact data (telephone, fax and email address) for companies providers of energy services;

d) name of Self-employed person, name of energy manager who has the capacity of self-employed person - address of his registered office, number of his certificate, validity date of certificate, contact data: telephone, fax and email address.

SECTION 2

Attestation procedure

ART. 18

(1) For the awarding of certificate of energy manager for industry, the applicants present the following documents:

a) request for issue of certificate of energy manager, according to the model presented in Annex no. 2 to Regulations;

b) copy of receipt or banker's draft of attestation fee;

c) copy of bachelor's degree diploma of a faculty with technical profile in the fields mentioned in art. 14 para. (2);

d) documents in original or certified copy, issued according to the law, from which it should result the length of service in the specialty required for a period at least equal to that established by art. 14 para. (2);

e) certified copy "compliant with the original" of employment contract;

f) technical report of activity in the field, containing the list of works and projects elaborated personally or in collaboration;

g) copy of graduation certificate of a specialized course in energy management, organized by a professional training supplier who received the permit of ANRE-DEE, according to art. 14 para. (3) or as applicable, master's degree in energy efficiency graduated at one of the faculties which received the approval of ANRE-DEE or doctor's diploma in one of the eligible fields presented in art. 14 para. (2);

h) criminal record;

i) for the natural persons sent to attestation by a legal person provider of energy services, they will have to present a copy of the articles of association of the legal person, which show that they carry out activities in the field of energy services and/or engineering and technical consulting related to these services.

j) the documents issued by the competent counterpart authorities from a member state of European Union or from the European Economic Area are accompanied by authorized translations in Romania, according to the law.

(2) the natural persons certified in another member state of European Union or the European Economic Area obtain the equivalence of certificate of energy manager in Romania, if they prove that they know the Romanian legislation in the field, after the defense of an interview on specialized subjects. For this purpose, the applicants have to submit to ANRE - DEE the following documents:

a) certified copy of the certificate issued in a member state of European Union or the European Economic Area;

b) references regarding the activity carried out in the last 3 years as energy manager.

(3) The documents mentioned in paragraph (1) and paragraph (2) are presented filed and will be accompanied by:

a) a title page, with the mention "File for requesting the certificate of energy manager", name of the natural person applicant, date of request;

b) a "Table of contents" page containing the enumeration of documents included in the file, in the order mentioned according to paragraphs (1), (2) and (4).

(4) The natural persons from the states from outside the European Union have to present, apart from the documents provided by paragraph (1) certificate of equivalence/recognition of university study diploma issued by the National Council for Recognition and Equivalence of Diplomas.

(5) The attestation consists of drawing up a project of energy management and the defense of an interview on specialized subjects.

(6) The energy management project is drawn up according to Annex no. 3 to Regulations and is submitted with the documents mentioned in paragraph (1)-(4).

(7) For the natural persons proposed for attestation by an economic operator, the energy management project will be drawn up for this operator; for the natural persons, proposed for attestation by a legal person provider of energy services or in case of self-employed, the energy management project will be drawn up for an economic operator consumer of energy from a freely chosen economic sector,

(8) If the average obtained by grading the energy management project by the commission members is minimum 7.5 the candidate takes the interview.

(9) The candidate is considered graduated if he/she obtains the mark 7.5 resulted as average between the mark given for the energy management project and the average of marks given for the interview.

(10) The mark obtained according to paragraph (9) is converted in corresponding points by multiplication by 10, rounding off by absence in case of fractions below 50% and by addition for the fractions above 50%. The maximum score is 100 points.

ART. 19

(1) To obtain the certificate of energy manager for towns, the applicants have to fulfill the provisions of art. 18 paragraph (1)-(4).

(2) Attestation consists of drawing up an energy management project and defense of an interview on specialized subjects.

(3) The energy management project is drawn up according to Annex no. 4 to Regulations and is submitted with the documents mentioned in paragraph (1).

(4) For the natural persons sent to attestation by the local authorities of a town with over 20000 inhabitants, the energy management project will be drawn up for that town; for the natural persons sent to attestation by a legal person provider of energy services or for self-employed, the energy management project will be drawn up for a town at choice.

(5) The graduation conditions are compliant with the art. 18 para. (8), para. (9) and para. (10).

ART. 20

(1) The complaints regarding the results of attestation set out by art. 18 and art. 19 are submitted to ANRE-DEE within 3 days from the date of display of results and are settled by a commission appointed for this purpose within 10 days from submission date.

(2) The results of complaints are published on the website of ANRE-DEE.

(3) The applicants dissatisfied with the results of complaints can address the courts of law for administrative contentious matters, according to the law.

SECTION 3

Accreditation procedure

ART. 21

(1) To obtain the accreditation as company provider of energy services the legal person presents the following documents:

a) request for obtaining the accreditation as company provider of energy services, according to the model presented in Annex no. 5 to Regulations;

b) copy of articles of association of the legal person, which show that it provides energy services and/or engineering activities and technical consulting related to these services;

c) copy of Certificate of incorporation issued by the National Registrar of Companies;

d) certificate of acknowledgement in original or certified copy regarding the incorporation and situation of the economic operator applicant, issued by the National Registrar of Companies at most 10 calendar days before the date of submission to the competent authority;

e) copy of certificate of energy manager of the person hired by the legal person applicant;

f) copy of individual employment contract of the energy manager hired in the legal person applicant;

g) criminal record certificate.

(2) The documents mentioned at paragraph (1) are presented filed and will be accompanied by;

a) a title page with the note "File for obtaining the accreditation as company provider of energy services", name of the legal person applicant, date of request;

b) a "Table of contents" page containing the enumeration of documents included in the file in the order mentioned in paragraph (1).

ART. 22

(1) The complaints regarding the non-awarding of accreditation as company provider of energy services are submitted to ANRE-DEE within 3 days from the display date of results and are settled by a commission appointed for this purpose within 10 days from submission date.

(2) The results of complaints are displayed at the office of ANRE and are published on its website.

ART. 23

(1) Depending on the type of certificate of energy manager hired by the company provider of energy services, the company can sign energy management contracts with:

a) economic operators who consume every year a quantity of energy higher than 1000 tep, if it has hired at least an energy manager for industry;

b) the local authorities from the towns with over 20000 inhabitants, if they hired at least one energy manager for towns;

c) with both types of beneficiaries of energy management contract listed in at point a) and point b), if they hired at least one energy manager for industry and at least one energy manager for towns.

(2) The contact data of companies providers of accredited services and type of energy management contract they can sign, respectively for industry, for towns, are published on the website of ANRE.

CHAPTER V

Awarding of energy manager certificate and accreditation as company provider of energy services

ART. 24

(1) ANRE-DEE grants the energy manager certificate within 30 days from the publication date of resolutions of the Attestation Commission on its website or as applicable, the date of publication of results to the complaints submitted. The validity period of the energy manager certificate is 3 years from the date of awarding.

(2) The Certificate keeps its validity if the holder changes the workplace to another economic operator, to another local authority, to a legal person provider of energy services or acquires the status of self-employed.

(4) The certificate has the form presented in Annex no. 6 to Regulations.

(5) The Head of ANRE-DEE approves the publication of contact data of companies providers of services agreed in the conditions of these Regulations, on the website www.anre.ro.

CHAPTER VI

Change of energy manager certificate; change of conditions at the base of accreditation

ART. 25

(1) The certified natural persons have the obligation to inform ANRE-DEE, within 30 days, on any change of conditions which were at the base of awarding the certificate.

(2) The companies providers of energy services agreed have the obligation to inform ANRE-DEE, within 30 days on any change of conditions which were at the base of accreditation.

(3) The changes mentioned in paragraph (2) are written in the Register of companies providers of energy services based on the following documents:

a) request for writing down the changes occurred;

b) certificate of acknowledgement in original or certified copy regarding the incorporation and situation of the economic operator applicant, issued by the National Registrar of Companies at most 10 days before the submission date to competent authority;

- c) copy of energy manager certificate hired in the economic operator in question at the date of request of change;
- d) copy of individual employment contract of the energy manager hired by the economic operator in question, at the request date of change.

CHAPTER VII

Extension of validity of energy manager certificate

ART. 26

- (1) The energy manager certificate for industry or towns can be extended only once for a period of 3 years.
- (2) The extension of validity of certificate is approved by Decision of the Head of ANRE-DEE, at the written proposal of Attestation Commission, based on the following documents:
 - a) request for extension presented at least 45 days before the expiry date of certificate, drawn up according to Annex no. 8 to Regulations;
 - b) copy of receipt or banker's draft of the fee for extension of validity of energy manager certificate;
 - c) the certificate held in copy; as applicable, one can submit the proof of declaration of loss of certificate, published in a local newspaper;
 - d) the technical report of activity of energy manager, with presentation of achievements after the obtaining of certificate;
- (3) The documents mentioned in paragraph (2) are presented filed in the order mentioned in these Regulations and will be accompanied by:
 - a) a title page with the mention "File for requesting the extension of validity of energy manager certificate", name of natural person applicant, date of request;
 - b) a "Table of contents" page, containing the enumeration of documents included in the file, in the order mentioned in paragraph (2).

ART. 27

- The attested energy managers who signed energy management contracts as self-employed and the companies accredited as providers of energy services have the obligation to send to ANRE-DEE, until 30 January of the next year, a report on the energy management contracts signed in the previous year, by providing the following information:
- a) Beneficiary of energy management contract;
 - b) period for which the energy management contract was signed.
 - c) measures of energy efficiency proposed and implemented and estimated or achieved energy savings.

CHAPTER VIII

Payment of fee for attestation of energy manager and the fee for extension of validity of energy manager certificate

ART. 28

The request regarding the request for energy manager certificate and the request for extension of validity of energy manager certificate generates a financial obligation of the applicant towards ANRE-DEE, based on the fees in force, updated every year by order of the President ANRE.

ART. 29

The applicant pays in full the financial obligation to ANRE, the latest on registration date of request set out by art. 28.

ART. 30

- The amounts paid by the applicants in the account of ANRE, as payment of the attestation fee of energy manager or the fee for extension of the validity of energy manager certificate are not returned in the following situations:
- a) to the applicant who does not present at the interview to which he/she was summoned, unless he/she requested the rescheduling within 3 working days from notification date;
 - b) to the applicant who does not obtain the average mark 7.5 at the grading of energy management project;
 - c) to the applicant who does not obtain the minimum mark of 7.5 resulted as average between the mark obtained for the energy management project and the average of marks given for the interview;
 - d) documentation submitted by the applicant is not compliant with the provisions of art. 18 or art. 19, as applicable, from these Regulations.

CHAPTER IX

Suspension of attestation, withdrawal of attestation, cessation of accreditation and issue of duplicate

ART. 31

- (1) The suspension of energy manager certificate is made by the Attestation Commission in one of the following situations:
 - a) at the request of economic operator employer, authorities from the towns where the energy manager or the beneficiary of management contract carries out his activity, for the non-fulfillment of job duties as energy manager;
 - b) non-fulfillment of obligations regarding the annual reports set out by Law no. [121/2014](#) for energy efficiency.
- (2) The attestation Commission analyses the requests mentioned in paragraph (1) and notifies the energy manager in question.
- (3) Within 10 days from the notification date, the Attestation Commission proposes the suspension of certificate or rejection of request, as applicable.
- (4) Within 15 days from the notification date the head of ANRE-DEE issues a suspension decision of energy manager certificate which will be communicated to the person in question.
- (5) The specialized division from ANRE-DEE makes the mention "suspended certificate", with specification of suspension period from the decision, in the Register of energy managers.
- (6) The energy manager to whom a suspension decision of certificate was issued can make complaint within 30 days from its communication.

(7) The complaint against the suspension decision of energy manager certificate is submitted to ANRE-DEE and will be settled within 30 days from its submission, by a commission for settlement of complaints, created for this purpose.

(8) For the suspension period of energy manager certificate of a natural attested person from a company provider of energy services, contact data of company is deregistered from the Register of companies' providers of energy services if it does not prove that it fulfills the provisions of art. 14 para. (4) let. b) of these Regulations.

(9) If within 60 days from communication date of suspension decision one of the situations which led to the suspension of certificate persists, the Attestation Commission proposes its withdrawal.

(10) ANRE-DEE issues a decision for withdrawal of energy manager certificate, based on the proposals received from the Attestation Commission, the decision is communicated to the natural attested person in question, and the specialized division deregisters the person in question from the Register of energy managers.

(11) The energy manager to whom a decision of withdrawal of energy manager certificate was issued can make a complaint within 30 days from its communication.

(12) The complaint is submitted to ANRE-DEE and will be settled within 30 days from its submission, by a commission for settlement of complaints, created for this purpose.

ART. 32

(1) The cessation of accreditation as company provider of energy services consists of its deregistration from the Register of companies providers of energy services and is proposed by the Attestation Commission in the following situations:

a) at cessation of employment contract of energy manager attested by ANRE-DEE, employee of the company, if the company has only one energy manager hired;

b) at withdrawal of energy manager certificate of the natural person hired by the company, if the company has only one energy manager hired.

(2) The company provider of energy services to which the accreditation was withdrawn can make complaint within 30 days from its communication.

(3) The complaints are submitted to ANRE-DEE and will be settled within 30 days from their submission by a commission for settlement of complaints, created for this purpose.

ART. 33

(1) The lost energy manager certificate/Decision for extension of energy manager certificate is declared null and void in a local newspaper, and the holder has the right to request the issue of a duplicate.

(2) In view of issuing a duplicate of the energy manager certificate or the decision of extension of the energy manager certificate, the applicant submits to ANRE-DEE the following documents:

a) request for issue of a duplicate of the energy manager certificate or the decision of extension of energy manager certificate, according to the model presented in Annex no. 7 to Regulations;

b) Copy of identity card of the applicant;

c) Copy of announcement published in a local newspaper;

d) Copy of receipt or banker's draft of the fee for issue of a duplicate of energy manager certificate or the decision of its extension;

(3) the fee for issue of duplicate is approved every year by order of President ANRE.

CHAPTER X

Transitory and final provisions

ART. 34

The activity of attested energy manager or the accredited company provider of energy services will be checked regularly by the staff empowered from ANRE-DEE.

ART. 35

The energy manager certificates awarded before the coming into force of these Regulations are assimilated to the energy manager certificates for industry.

ART. 36

For his activity the energy manager is liable from civil, administrative, material or criminal point of view, as applicable, according to the law.

ART. 37

Annexes no. 1-8 to Regulations are part of these Regulations.

ANNEX 1

To Regulations for attestation of managers and accreditation of companies providers of energy services

SPECIALTY TERMS

In the meaning of these Regulations, the terms and expressions below have the following meanings:

Attestation - activity carried out by ANRE-DEE for analysis, checking and written confirmation of competences of natural persons who request the capacity of energy manager for industry or towns, according to these Regulations and the technical regulations in force.

Accreditation - activity by which following the checking of documentations of applicants, it is confirmed that the companies providers of energy services observe the provisions of Regulations, for the conclusion of energy management contracts and the contact data of the company provider of energy services in the Register of companies providers of energy services is posted on the website www.anre.ro.

The total annual energy consumption expressed in tons of equivalent oil - t.e.p./year - represents the sum of quantities of electricity, thermal energy and all forms of fuels (gases, liquid, solid), turned into tons equivalent of oil, consumed in a year.

Energy Management - assembly of activities of organization, management and coordination of energy processes of a consumer;

Energy manager for towns - energy manager who assures the energy management of towns with over 20000 inhabitants;

Energy manager for industry - energy manager who assures the energy management of economic operators with activity in the sectors: industry, transportation, services.

Improvement measures of energy efficiency - actions which normally lead to the improvement of energy efficiency which can be checked, measured or estimated;

Programmes for improvement of energy efficiency - activities which focus on groups of end consumers and which normally lead to an improvement of energy efficiency which can be checked, measured or estimated;

Company provider of energy services (SSE) - legal person or self-employed who provides energy services and/or other measures for improvement of energy efficiency in installation or precincts of consumer or for the local authorities from towns with over 20000 inhabitants and who following the provision of these services and/or measures accept a degree of financial risk. The payment for provided services is based in full or partially on improvement of energy efficiency and on the fulfillment of other performance criteria agreed upon by the Parties.

The meaning of other terms and expressions of Regulations is provided in [Law no. 121/2014](#) for energy efficiency.

ANNEX 2

To Regulations for attestation of energy managers and accreditation of companies providers of energy services

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Font 8

MODEL
Of enrolment request for the examination for
Energy manager certificate
[] for industry
[] for towns

PERSONAL INFORMATION:
Surname father initials forename.....
Date of birth place of birth
Identity card series no. issued by on
Personal Identification Number
Domicile:
street no. block entrance floor flat town county
.....
Telephone fax
e-mail
Payer*)

*) Information necessary for issue of invoice for the attestation fee

Street number. town county
Telephone..... Fax
e-mail
Name of general manager/mayor
Incorporation number in Registrar of Companies/year.....
Fiscal code
Bank account opened with Bank

I, the undersigned,, request the enrolment for the examination for obtaining the energy manager certificate in the unit.....

In case after the examination I receive the certificate above, I request my enrolment in the Register of energy managers. I agree to the publication of my data in this Register.

I enclose the necessary documents, according to the provisions of Regulations in force. All the information provided is correct.

I am aware that according to the provisions of art. 30 of these Regulations the amounts paid by applicants in the account of ANRE, as payment of the attestation fee for energy manager or the fee for extension of validity of energy manager certificate are not returned if following the analysis of documentation submitted to ANRE-DEE, it is noted that the provisions of these Regulations are not fulfilled.

Education, training courses:

Np. crt.	Period	Diploma obtained	Institution which issued the diploma

(you will attach copies of graduation diplomas.)

Current professional situation:

Profession, length of service, function, length of service, responsibilities:

Professional experience:

No. crt.	Period	Name of economic operator	Position	Responsibilities

Applicant's signature

Date

To:

ANRE-Department for Energy Efficiency
Commission for attestation of energy managers

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ANNEX 3

To Regulations for attestation of energy managers and accreditation of companies providers of energy services

FRAMEWORK CONTENT

Of energy management project for industry

- I. Data on applicant who requests the energy manager certificate
 1. Name and position;
 2. Responsibilities and assignments according to job description;
 3. Assignments of candidate as a result of obtaining the Certificate, by passage of examination with ANRE-DEE;
 4. Name and position of the person to whom the candidate subordinates;
 5. Contact data of applicant (telephone, fax, mobile phone, e-mail)
 6. Works and energy efficiency projects in which the applicant is directly involved, at the date of work.
- II. Presentation of economic operator consumer of energy in which the applicant is hired or for which he/she drew up the energy management project
 1. General data
 - a) Address of main office and of secondary offices;
 - b) Incorporation number with registrar of companies, fiscal code, bank account and bank where the account is opened;
 - c) Type of capital, with the specification of percentage weight of state and private capital, as applicable;
 - d) name of unit leader and leaders of secondary offices;
 - e) contact data of management and secondary offices (telephone, fax, mobile phone, e-mail).
 2. Data on activity carried out in the economic operator:
 - a) Field of activity at main office and at secondary offices, with specification of NACE codes;
 - b) Number of persons hired at main office and at secondary offices;
 - c) Evolution of production, productivity and other economic indicators, as applicable.
- III. Energy presentation of economic operator
 1. Description of the main energy consumption contours (shop floors, workshops, machinery, installations, equipment) with specification of equipping with measurement, control and registration devices of energy consumptions:
 - a) Presentation of the main energy consumption contours and indoor energy networks based on a plan of situation of localization of technological shop floors; general structure of consumption by types of energy - charts, with specification of the weight of each form of energy consumed
 - b) Presentation of the main machinery and equipment consumers of energy for each energy consumption meter defined above; structure of energy consumption by types of consumers - charts, with specification of the weight of each form of energy consumed
 - c) electricity: number of supply transformers, their installed power and belonging (name of unit supplier of electricity); value of supply voltage (medium voltage, low voltage) and type of fee by which we make the metering for the payment of electricity; total installed power according to connection permit (with specification of number, date and issuer)
 - d) thermal energy - internal utilities: own heating plants with description of their energy equipment
 - e) thermal energy - external utilities: connection to third parties, which supply thermal energy
 - f) Other utilities: industrial steam installations ; hot water installations ; spaces heating installations; compressed air installations; air conditioning plant; cooling plants; installations for production and/or storage of oxygen; acetylene production plant; wastewater treatment plant; other types of plants
 - g) natural gases: installed flow approved, according to energy permit (with specification of number, date and issuer)
 - h) liquid fuels: CLU; crude oil; other liquid fuels used
 - i) solid fuels: coal (brown coal, pit coal, charred coal); combustibile wastes (sunflower shells, linen chaff and hemp chaff, sawdust, other agricultural wastes); other solid fuels used
 - j) Types of recovered energy, method of use
 - k) Data on the use of renewable energy (solar, wind, biomass, geothermal, hydro); estimates of usable potential in the economic agent unit
 - l) fuels: diesel, petrol, other fuels used.
 2. Description of evolution of energy consumptions, based on the statistic data presented in charts, with their interpretation, for the events occurred (variation of products, development of the unit or reduction of activity, increase of energy efficiency etc.), for the last 3 years.
 3. Specific indicators: specific energy consumptions, by types of products or services; energy intensities - comparison data (with units of the same profile in the country, abroad); interpretation of values of these indicators.
- IV. Energy efficiency laws

Classification of the unit in the provisions of legislation on energy efficiency in force in Romania. Special attention is paid for the provisions of:

1. [Law no. 121/2014](#) for energy efficiency;
2. Legislation in the field of energetics of buildings.

V. Organization of energy management activity in economic operator

1. Method of organization of team with responsibilities for the consumption of energy in the unit. Existence of consumer centers or economic management centers of energy consumptions.

2. Access of energy staff to the specialized information on energy efficiency; existence of Internet connection. Communication in the unit between the technological specialists and energy specialists.

3. Energy diagnosis of company – presentation of the main problems; completion of energy management matrix, by selection of the situation level of the unit at that time, for each of the six criteria (energy policy, organization, commitment, information system, marketing, investments) according to the table below.

Energy Management Matrix

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Font 9

Level	Energy Policy	Organization	Commitment	Information system	Marketing	Investments
4	Active Involvement of upper management	fully integrated with other forms of management	The whole staff has responsibilities for energy savings	Well-formed system with daily reports	Marketing extended inside and outside of institution	positive discrimination in favour of energy efficiency
3	Official policy but without application by management	Clear division of tasks and budgets	Most large consumers are motivated to save the energy a economisi energia	System M&T monthly for individual centres or areas	Regular advertising campaigns	The same appreciation criteria as for the rest of investments
2	Undetermined policy	Setting task and unalloted responsibilities	Non-rythmic or rare motivation	System M&T monthly by types of fuels	Sporadic staff awareness actions	Only Investments with reduced recovery term
1	Unformulated directions of action	Setting tasks on various occasions	Relative awareness of staff about importance of savings	Checking of invoices	Unofficial contacts for promotion of savings	Only measures with low costs
0	No explicit policy	No delegation of responsibilities in energy	Lack of awareness of the necessity to save energy	No system of information or metering of consumptions	No action of marketing or dissemination	No investment in improvement of energy efficiency

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Note: M&T = monitoring and targeting (continuous monitoring of energy consumptions and application of measures for increase of energy efficiency)

VI. Works for increase of energy efficiency

1. The current stage of recent energy audits and the main measures proposed by the contractor for the increase of energy efficiency. Specifications regarding the specialized consulting.

2. The current stage of elaboration of Programme for improvement of energy efficiency, with specification of the main measures which can be implemented on short, average and long term.

The current stage of investment works which lead to the increase of energy efficiency in the unit.

VII. Case study

We can approach at choice any type of work for implementation of measures for increase of energy efficiency, of the type electroenergetic, thermoenergetic, for buildings or utilities, including for the use of recovered energy and forms of renewable energy.

The case study has to include a technical economic presentation, accompanied by the preliminary feasibility indicators, evaluation which can be taken from a study, with its mentioning or an approximate evaluation by own calculations, photographs, graphics and their interpretations.

ANNEX 4

To the Regulations for attestation of energy managers and accreditation of companies providers of energy services

FRAMEWORK CONTENT

Of the energy management project for towns

With over 20000 inhabitants

I. Data on applicant who wants to obtain the certificate of energy manager

1. Name and position;
 2. Responsibilities and assignments according to job description;
 3. Assignments of applicant following the obtaining of Certificate, by passage of examination with ANRE-DEE;
 4. Name and position of the person to which the applicant subordinates;
 5. Contact data of applicant (phone, fax, mobile, e-mail).
 6. Works and energy efficiency projects in which the applicant is directly involved at the date of work.
- II. General presentation of the town
1. General data
 - a) Address;
 - d) Name of mayor;
 - e) Contact data of mayor's office: telephone, fax, mobile, e-mail.
 2. Presentation of town
 - a) number of inhabitants;
 - b) climatic aspects: annual average temperature, minimal and maximal temperatures recorded, wind, rainfall regime;
 - c) presentation of town specificity (industrial development, tourism etc.);
 - d) sources of incomes to the local budget (taxes, duties, subsidies, transfers, loans, etc.)
- III. Energetic presentation of town
1. Description of the main contours of energy consumption
 - a) presentation of the main energy consumers by sectors of activity: residential, transportation, utilities, services;
 - b) general structure of consumption by types of energy - graphs, with precision of weight of each form of energy consumed
 - c) electricity: suppliers, distributors, description of situation of public lighting, co-generation;
 - d) thermal energy: presentation of the supply with thermal energy at local level;
 - e) natural gases;
 - f) liquid fuels: CLU; crude oil; other liquid fuels used;
 - g) solid fuels: coal (brown coal, pit coal, charred coal); combustible wastes (sunflower shells, linen chaff and hemp chaff, sawdust, other agricultural wastes); other solid fuels used
 - h) fuels: diesel, petrol, other fuels used;
 - i) water supply and sewerage;
 - j) treatment plants;
 - k) Data on the use of renewable energy (solar, wind, biomass, geothermal, hydro); estimates of usable potential in the town;
 - l) public buildings;
 - m) public transportation;
 - n) urban wastes.
 2. Description of evolution of energy consumptions, based on the statistic data presented graphically with their interpretation compared to the events (bad weather, disconnections from the central heating system, increase of energy efficiency etc.), for the last 3 years. You will present the evolutions of consumptions: by sectors of activity and by types of energy carriers
- IV. Relevant legislation in the field of energy efficiency
- a) [Law no. 121/2014](#) for energy efficiency;
 - b) GD no. [1069/2007](#) for approval of Energetic Strategy of Romania 2007-2020, updated for the period 2011-2020;
 - c) GD no. [163/2004](#) for approval of national Strategy in the field of energy efficiency;
 - d) GD no. [1460/2008](#) for approval of national Strategy for sustainable development of Romania - Horizons 2013-2020-2030;
 - e) GD no. [882/2014](#) for approval of national Strategy for supply with thermal energy of towns by centralized system of production and distribution ([GD no. 882/2014](#));
 - f) GD no. [219/2007](#) for promotion of co-generation based on the demand of useful thermal energy;
 - g) Law no. [372/2005](#) for energy performance of buildings, further amended and supplemented;
 - h) Law no. [215/2001](#) of local public administration republished, further amended and supplemented;
 - i) GEO no. [28/2013](#) for approval of national local development Programme;
 - j) GD no. [462/2006](#) for approval of programme "District heating 2006-2015 heat and confort" and foundation of project management unit, republished, further amended and supplemented.
- You can make proposals, recommendations, comments regarding the improvement of regulations on energy efficiency.

V. Organization of energy management activity in the town

1. Method of organization of team with responsibilities in consumption of energy in the local authority
2. Access of energy staff to specialized information on energy efficiency; existence of a database. Communication between the local authority and energy specialists.
3. Energy diagnosis of town - presentation of the main problems; completion of energy management matrix, by selection of the level corresponding to the situation where the town is at the time for each of the six criteria (energy policy, organization, commitment, information system, marketing, investments) according to the table below.

Energy management matrix

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Font 9

Level	Energy Policy	Organization	Commitment	Information system	Marketing	Investments
4	Active Involvement of upper	fully integrated with other	The whole staff has responsibilities	Well-formed system with daily	Marketing extended inside and	positive discrimination in favor of

	management	forms of management	for energy savings	reports	outside of institution	energy efficiency
3	Official policy but without application by management	Clear division of tasks and budgets	Most large consumers are motivated to save the energy a economic energy	System M&T monthly for individual centers or areas	Regular advertising campaigns	The same appreciation criteria as for the rest of investments
2	Undetermined policy	Setting task and unalloyed responsibilities	Non-rythmic or rare motivation	System M&T monthly by types of fuels	Sporadic staff awareness actions	Only Investments with reduced recovery term
1	Unformulated directions of action	Setting tasks on various occasions	Relative awareness of staff about importance of savings	Checking of invoices	Unofficial contacts for promotion of savings	Only measures with low costs
0	No explicit policy	No delegation of responsibilities in energy	Lack of awareness of the necessity to save energy	No system of information or metering of consumptions	No action of marketing or dissemination	No investment in improvement of energy efficiency

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Note: M&T = monitoring and targeting (continuous monitoring of energy consumptions and application of measures for increase of energy efficiency)

VI. Works for increase of energy efficiency

1. Current stage of energy audits performed recently and main measures proposed by the contractor for increasing energy efficiency. Specifications on specialized consulting.
2. Current stage on elaboration/updating/implementation of the Programme for improvement of energy efficiency, with specification of the main measures which can be implemented on short, medium and long term.
3. Stage of realization of Energetic Master Plan of the town, if applicable.
4. Carrying out of information dissemination campaigns regarding energy efficiency addressed to inhabitants. Pilot projects, demonstrative projects. Actions for change of behaviour of domestic energy consumers.
5. Programme of thermal rehabilitation of public buildings.
6. public acquisitions of products, services, works or buildings with high energy efficiency performances (according to Annex 1 to the Law no. [121/2014](#))

VII. Case study

You can choose any type of work for implementation of measures for increase of energy efficiency, of electroenergetic, thermoenergetic type for buildings, or utilities, including for use of recovered energy and forms of renewable energy.

The case study can approach a measure of energy efficiency implemented already in the town or proposed for realization. You will highlight: the energy consumptions before and after the implementation of energy efficiency measure, energy savings (estimated or made) in t.e.p, investment recovery period, other technical economic indicators relevant for the case study. You can use photographs and/or graphs accompanied by interpretation.

[ANNEX 5](#)

To Regulations for attestation of energy managers and accreditation of companies providers of energy services

T

MODEL

Of request for accreditation of company provider of
Energy services

Legal person (name),
.....
Fiscal code incorporated with Registrar of Companies
Under no. with the office in street no.....
County/district telephone/fax e-mail
Legally represented by Manager (name)
..... request the accreditation as company provider of energy services.

I, the undersigned request the registration of the legal person I represent
In the Register of companies providers of energy services. I agree to the publication of the name of legal person and its contact data in this Register.
I enclose the file drawn up according to the provisions of Regulations in force.

All the information provided is correct.

Date

Signature and stamp of legal person
(name, position)

*) NOTE: The legal person will be represented in the relation with the license body by an authorized representative.

To:

ANRE-Department for Energy Efficiency

Commission for attestation of energy managers

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ANNEX 6

To Regulations for attestation of energy managers and accreditation of companies providers of energy services

MODEL Of Certificate of energy manager

No. of

Based on the Law no. [121/2014](#) for energy efficiency and Regulations for attestation of energy managers and accreditation of companies providers of energy services, approved by Decision of Head of Department for Energy Efficiency from the Romanian Energy Regulatory Authority no....., as a result of obtaining by the examined candidate of the mark "admitted", by accumulation of points at the attestation examination, this certificate of energy manager is awarded to Mr. (Mrs.), profession, residing at town, street no., block, entrance, floor, ap., county/district, and gives the capacity of:

ENERGY MANAGER

The Certificate of Energy Manager keeps its validity exclusively for the exercise of energy manager position in the towns with over 20000 inhabitants/economic operators who carry out activities in the sectors: industry, transportation, services.

The Certificate of energy manager is valid for 3 years from issuing date.

The extension of validity of certificate of energy manager can be made at the request of holder, with observance of provisions stipulated in the Regulations in force.

The Certificate of energy manager is nontransferrable.

VICEPRESIDENT,
HEAD OF DEPARTMENT FOR ENERGY EFFICIENCY
Signature/stamp

ANNEX 7

To Regulations for attestation of energy managers and accreditation of companies providers of energy services

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Request for issue of a duplicate

[] of the Certificate of energy manager no..../.....
[] of extension decision no...../of Certificate of energy manager
no..../.....
(check by sign "X" the case of request)

I, the undersigned surname, father initial, forename,
Date of birth, place of birth, identity act
series no., issued by on,
personal identification number

Domicile, telephone/fax/e-mail

Workplace
Street no. town county
Telephone/fax/e-mail

Please approve the issue of a DUPLICATE of the certificate of energy manager
no..../.....

Reason for which I request the issue of duplicate:

.....
.....

Date:

Signature:

To:

ANRE-Department for Energy Efficiency

Commission for attestation of energy managers

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ANNEX 8

To Regulations for attestation of energy managers and providers of energy services

T

MODEL

Of request for extension of validity

Of certificate of energy manager

for industry

for towns

PERSONAL INFORMATION:

Surname father initial forename.....

Date of birth place of birth

Identity act series no. issued by

..... on

Personal Identification Number.....

Domicile:

street no. block entrance floor flat

town county

Telephone fax

e-mail

PAYER*)

*) Necessary information for issue of invoice for the extension fee of certificate

Street no. town..... county

Telephone Fax.....

e-mail

Name of General Manager/mayor.....

Registration number in Registrar of Companies/year.....

Fiscal code

Bank account opened with Bank

I, the undersigned,, holder of Certificate of

Energy manager for industry/urban communities, hired in the economic operator

/mayor's office/legal person

Provider of energy services, request the extension of

validity of certificate, and the maintenance of my registration in the

Register of energy managers. I agree to the publication of my data in this Register.

I enclose the necessary documents, according to the provisions of Regulations in force.

I take knowledge that according to the provisions of art. 30 of these

Regulations the amounts paid by applicants in the account of ANRE,

As payment of the fee for attestation of energy manager or the fee for

Extension of validity of Certificate of energy manager are not returned

If after the analysis of documentation transmitted to ANRE- Department

For Energy Efficiency it is noted that the provisions of these Regulations

are not fulfilled.

All the information provided is correct.

Signature of applicant.....

Date

To:

ANRE-Department for Energy Efficiency

Commission for attestation of energy managers

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ANNEX 2

REGULATIONS

For authorization of energy auditors in industry

CHAPTER I

General provisions

ART. 1

- (1) The Regulations for authorization of energy auditors in industry, hereinafter referred to as Regulations, establish the license conditions for natural and legal persons who perform energy audits with identification of improvement solutions of energy efficiency in the sectors of national economy.
- (2) The provisions of these Regulations apply to:

- a) natural and legal persons, Romanian and foreign who request the license for the elaboration of energy audits in industry, on the territory of Romania, with observance of laws and norms in force in the field of energy efficiency;
 - b) natural and legal persons, Romanian and foreign who carry out activities of elaboration of energy audits for industry based on licenses obtained.
- (3) The provisions of these Regulations shall not apply to energy auditors for buildings.

ART. 2

- (1) The capacity of energy auditor in industry, hereinafter referred to as energy auditor, is proven by the license of energy auditor, stamp and identity card.
- (2) The models of licenses of energy auditor, stamp and identity card are provided in Annexes no. 1, 2 and 3 to Regulations.

ART. 3

The specialty terms of these regulations are defined in Annex no. 1 to Regulations.

ART. 4

The license of natural or legal person with activity in the field of energy services and/or energy consulting, as energetic auditor is made by the Romanian Energy Regulatory Authority - Department for Energy Efficiency, hereinafter referred to as ANRE-DEE.

CHAPTER II

Minimal criteria for energy audits, including those carried out as part of energy management systems

ART. 5

- (1) Energy audits are based on the following minimal criteria:
1. Contain updated, measured, checkable and traceable operational data regarding the consumption of energy and the load profiles for electricity as follows:
 - a) use data regarding the consumption of energy regarding all types of fuels used;
 - b) use the information from the invoices from the energy providers and the current exploitation data from the primary records, resulted from the reading of measure and control devices from the equipment and installations;
 - c) check the existence of these devices according to design and the method of realization of metrological control;
 - d) analyze together with the operation staff, the realization of additional measure points and the realization of measurements by auditor's equipment. Traceability is a property of the result of measurement to be related to a reference through an uninterrupted and documented chain of calibrations, each contributing to the measure uncertainty; in case of large variations of measured parameters we recommend the tracing of regression curves for determination of relevant calculation values for the drawing up of audit. They mention the conversion factors used in the transformation of physical energy units in equivalent energy units.
 2. Contain a detailed revision of the energy consumption profile of operations or industrial installations, including transportation.
 - a) At energy audits for industry we analyze the energy consumption of individual processes; in the preparation stage of energy audit we establish the energy consumption contour in view of auditing and a characteristic operating regime of the installation audited, especially depending on the degree of its usual loading; for this purpose we recommend the analysis for a period of time of operating regimes and provisions of functioning in perspective and decide on the characteristic regime;
 - b) At the energy audits for transportation we have in view the following issues: composition of fleet, technical characteristics of vehicles, number of operating hours of a vehicle for a reference period, specific indicators such as tons /km or persons/km, consumption of energy and its reduction possibilities, maintenance programmes, route optimization programmes, training programmes of car drivers.
 3. Analysis of costs of lifecycle and simple repayment periods to consider the long-term savings, residual values of long-term investments and discounting rates. We calculate and analyze the net discounted value and the internal rate of return for investments for measures recommended after the energy audit; calculation of simple repayment periods applies only to measures with reduced recovery time of investments.
 4. Proportionality, representativity to allow the creation of a reliable image of global energy performance and reliable identification of the most significant improvement opportunities.
- (2) Energy audits allow for detailed and validated calculations for the measures proposed so that they provide clear information regarding the potential savings. The data supplied by audit must be sufficient for the initiation of a pre-feasibility study.
- (3) The data used in energy audits is stored for the purpose of historical analysis and the follow-up of performance. We keep the data used from the last 2 audits, either in electronic format, or in letter format. The benchmark analysis of performances will be made in comparable conditions, taking into account the influence of random variables: the loading degree of equipment, weather conditions, quality of raw material, quantity of scraps, etc.
- (4) In the elaboration of energy audits we recommend the consideration of provisions from:
- a) Guidelines for elaboration of energy audits approved by Decision of President ANRE no. [2123/2014](#), published on the website of ANRE;
 - b) Standard SR EN 16247-1-2013. Energy audit. Part 1: General requirements.

CHAPTER III

Classes and types of energy audits

ART. 6

We define two classes and three types of energy audits as follow:

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CLASS	TYPE OF ENERGY AUDIT		
	Electroenergetic Audit	Thermoenergetic audit	Complex audit
I	$P(i) \leq 1000 \text{ kW}$	$P(i) \leq 2000 \text{ kW}$	$P(i) \leq 3.000 \text{ kW}$

II	P(i) > 1000 kW	P(i) > 2000 kW	unlimited
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*) P(i) - installed power on the energy audit contour

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ART. 7

- (1) The natural persons who have the capacity of energy auditor are authorized to perform energy audits class I.
- (2) The legal persons who have the capacity of energy auditor are authorized to perform energy audits class I or class II.

CHAPTER IV

Conditions for obtaining the permit ANRE-DEE by the professional training providers for organization of specialized courses in the field of elaboration of energy audits

ART. 8

The specialized courses in the field of elaboration of energy audits are organized by higher education institutions from electric/energy field and professional training companies authorized according to the legislation in the field, hereinafter referred to as training providers, who obtained previously the permit ANRE-DEE for organization of these courses.

ART. 9

In order to obtain the permit ANRE-DEE, for the organization of specialized courses for elaboration of energy audits, the professional training providers must fulfil the following requirements:

- a) training experience of minimum 10 years in energy field;
- b) existence of a team of at least four professors with specialty in energy field;
- d) existence of an adequate material base, which consists of specialized laboratories, the necessary equipment, to cover all the chapters of analytical curriculum.

ART. 10

- The professional training providers will submit to ANRE-DEE a file which contains the following:
- a) request for permit for organization of specialized courses in the field of elaboration of energy audits;
 - a) articles of incorporation of the institution;
 - b) proof of training experience of minimum 10 years in the energy field;
 - c) list of trainers, their Curriculum Vitae, copies of bachelor's/master's/Ph.D. diplomas and copies of employment contracts for each trainer;
 - d) list of specialized laboratories and other installations with experimental nature which can serve as material base for the practical training in the field of elaboration of energy audits;
 - e) a declaration signed by the authorized representative by which the training providers undertake the responsibility of observance of analytical curriculum approved by DEE for specialized courses in the field of elaboration of energy audits.

ART. 11

Professional training providers can form consortia which organize by cooperation the carrying out of specialized courses in the field of elaboration of energy audits, with observance of provisions of art. 9 and art. 10. In this case the consortium receives the permit of ANRE-DEE for organization of specialized courses.

ART. 12

The analytical curriculum of specialized courses, approved by ANRE-DEE, is published on the webpage of ANRE.

ART. 13

The documents presented at art. 10 are analyzed by the Commission for authorization of energy auditors, appointed by decision of the head of ANRE-DEE. The Commission proposes the head of ANRE-DEE favorable permit or refusal of permit as applicable. The permit is valid only for the period when the requirements of art. 9 are observed, otherwise it is withdrawn.

ART. 14

At graduation of specialized courses in the field of elaboration of energy audits, the higher education institutions from electric/energy field and the professional training companies issue graduating certificates to the trainees who graduated the tests.

ART. 15

The list of professional training providers who received the permit for organization of specialized courses in the field of elaboration of energy audits is published on the website of ANRE and is permanently updated by the specialized division from ANRE-DEE.

ART. 16

The professional training providers who received the permit for organization of specialized courses in the field of elaboration of energy audits notify ANRE-DEE the changes occurred in the conditions which were at the base of granted permit, within 7 working days from their occurrence.

CHAPTER V

The conditions for obtaining the license of energy auditor:

ART. 17

- (1) In view of obtaining the energy auditor license, the natural persons have to fulfill the following conditions:
- a) to have full capacity of exercise;
 - b) to present the criminal record certificate issued according to the law;
 - c) to have the professional training, specialization and experience accumulated in the field required by these Regulations.
- (2) Professional experience refers to teaching activity, research, design, execution or exploitation accumulated in the technical fields mentioned in the following table:

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Professional training and specialization	Minimum conditions for professional experience	Training course in
--	--	--------------------

	which the natural person must fulfill	energy audit field
Graduates of bachelor's degree in the field: energy engineering	2 years	Necessary
Graduates of bachelor's degree in the fields: - civil engineering - engineering of installations - electric engineering - mines, oil and gases - mechanical engineering - industrial engineering - engineering and management - chemical engineering	3 years	Necessary

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(3) The requirement regarding the training in the field of elaboration of energy audits is fulfilled by graduation of a specialized course regarding the elaboration of thermoenergetic audits and/or Electroenergetic audits organized by professional training providers set out by art. 8 or by graduation of master's degree courses in energy efficiency in one of the faculties which received the permit ANRE-DEE or by obtaining the Ph.D. degree in one of the eligible fields set out by art. 17 para. (2).

(4) Depending on the type of required license the natural person has to present certificate of graduation of specialized course, according to the table below:

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Type of license required	Necessary Specialization course
Class I, type Electroenergetic	Elaboration of electroenergy audits
Class I, type Thermoenergetic	Elaboration of thermoenergy audits
Class I, type Complex	Elaboration of electroenergy audits and Elaboration of thermoenergy audits

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(5) The applicant must prove that he/she has measure equipment checked metrologically, specific to the audits. The list of equipment which includes the devices for the performance of measurements at elaboration of energy audits is presented in Annex no. 5 to Regulations.

ART. 18

In order to obtain the license of energy auditor, the legal persons have to fulfill the following conditions:

- a) to provide in object of activity the provision of energy services, engineering and technical consulting activities related to engineering or tests and technical analyses;
- b) to have minimum 3 authorized energy auditors according to the law, hired based on employment contract; in case of limited companies the associate/associates has/have the right to provide services of authorized energy auditor, according to the law;
- c) to prove that they have measurement equipment checked metrologically specific to energy audits for which license is requested.
- d) for obtaining the license of energy auditor class II, complex type, the applicant, legal person, has to have in the team three energy auditors according to the provisions of point b) of which at least one energy auditor must hold license class I, thermoenergy type and another license class I, electroenergy type or at least one energy auditor with license class I, complex type.

CHAPTER VI

The procedure of license and documents necessary for the request of license by natural and legal persons

ART. 19

- (1) The documentations submitted by applicants are analyzed by the Commission for authorization of energy auditors which proposes the head of ANRE-DEE:
- a) granting of license of energy auditor;
 - b) change of license of energy auditor;
 - c) extension of license of energy auditor;
 - d) suspension of license of energy auditor;
 - e) withdrawal of energy auditor license;
 - f) issue of duplicate of energy auditor license.

(2) For the resolution of complaints submitted by the interested parties, a resolution commission is appointed when applicable, by Decision of the Head of ANRE-DEE. The refusal of granting the license is motivated by specifying the legal means of appeal.

ART. 20

The deadline for analysis of documentation submitted by applicants is 30 days from the date of submission.

ART. 21

- (1) The Register of energy auditors is elaborated under the form of written document, is published on the website www.anre.ro and is permanently updated. The Register contains two parts: energy auditors natural persons and energy auditors legal persons.
- (2) The information from the Register of Energy Auditors is presented in table and contains:

- a) surname and forename of energy auditor for natural persons and name of economic operator for legal persons;
- b) address of energy auditor legal person;
- c) number of energy auditor license;
- d) class and type of energy audit for which the auditor is authorized;
- e) validity date of energy auditor license;
- f) contact data: telephone, fax, e-mail.

ART. 22

(1) For the granting of license, the natural person presents the following documents:

- a) request for issue of energy auditor license according to the model set out in Annex no. 6 to Regulations;
- b) copy of receipt or banker's draft of license fee;
- c) copy of bachelor's degree issued by a technical profile faculty in the fields mentioned at art. 17 para. (2);
- d) technical report of activity which proves the professional experience acquired for a period at least equal to the one established in art. 17 para. (2);
- e) documents in original or certified copy "compliant with original", issued according to the law, which show the length of service in the specialty for a period at least equal to the period set out by art. 17 para. (2);
- f) copy of graduation certificate of a specialized course in the field of elaboration of energy audits, organized by a professional training provider according to art. 17 para. (4) or as applicable, Master's Degree in energy efficiency graduated in one of the faculties which received the permit ANRE-DEE or Ph.D. degree in one of the eligible fields presented by art. 17 para. (2);
- g) the list of equipment held by the applicant natural person, necessary for the works specific to energy audits for which the natural person requests the license, accompanied by the documents which certify the ownership right or right of use over the equipment and/or copy of lease contract or bailment contract of measurement equipment signed with an economic operator or an institution which has such equipment;
- h) the applicants hired with employment contract in a legal person authorized as energy auditor or under way of license, present the list of equipment accompanied by the documents which certify the ownership right or right of use of the legal hiring person over the equipment;
- i) criminal record;
- j) documents issued by the competent counterpart authorities from a member state of European Union or the European Economic Area are accompanied by their authorized translations.

(2) The self-employed in another member state of European Union or from European Economic Area are equaled the license of energy auditor in Romania, if they prove that they know the Romanian legislation in the field, after the defense of an interview.

(3) For equivalence of license, the applicants have to submit to ANRE-DEE the following documents:

- a) Certified copy of license issued in a member state of European Union or the European Economic Area;
- b) References for the activity carried out in the last 3 years as energy auditor;
- c) list of equipment held by the applicant natural person, necessary for the specific works of energy audits for which the natural person requests the license, accompanied by the documents which certify the ownership right or right of use of equipment, and/or copy of lease contract or bailment contract of measurement equipment signed with an economic operator or an institution which has such equipment;

(4) The natural persons from the states from outside of European Union have to present apart from the documents set out by paragraph (1) the certificate of equivalence/recognition of university diploma issued by the National Council for Recognition and Equivalence of Diplomas.

(5) The documents mentioned in paragraph (1), para. (2) and para. (3) are presented filed and will be accompanied by:

- a) a title page with the mention "File for request of energy auditor license class I, type.....", name of the natural person applicant, registration date of request with DEE;
- b) a "Table of contents" page containing the enumeration of documents included in the file in the order mentioned according to para. (1), para. (2) and para. (3) with the signature of the applicant.

ART. 23

(1) For being granted the license the legal person presents the following documents:

- a) request for issue of energy auditor license - legal person, according to the model presented in Annex no. 7 to Regulations.
- b) copy of receipt or banker's draft of license fee;
- c) copy of articles of incorporation of the legal person which show that it carries out activity in the field of energy services, engineering and related technical consulting or activities of tests and technical analyses;
- d) copy of Certificate of incorporation issued by the National Registrar of Companies;
- e) certificate of acknowledgement issued by the National Registrar of Companies in original or notarized copy at most 10 days prior to the submission of request for granting the license;
- f) copies of employment contracts of authorized energy auditors, hired in the legal person applicant;
- g) copies of energy auditor licenses class I of authorized energy auditors, hired in the legal person applicant;
- h) the list of equipment which is the property or use of legal person applicant, necessary for the works specific to energy audits for which the legal person requests authorization, accompanied by the documents which attest the ownership right or right of use of equipment and/or copy of lease contract or bailment contract of measurement equipment signed with an economic operator or an institution which has such equipment;
- i) criminal record certificate

(2) The legal persons authorized in another member state of European Union or from the European Economic Area are equaled the energy auditor license in Romania if their representatives prove that they know the Romanian legislation in the field after the passage of an interview.

(3) For the equivalence of license, the applicants must submit to ANRE-DEE the following documents:

- a) Certified copy of license issued in a member state of European Union or the European Economic Area;
- b) references regarding the activity carried out by the legal person in the last 3 years as energy auditor in industry and/or in other economic sectors, except for the buildings sector;
- c) the list of equipment in the property or use of the legal person applicant necessary for the specific works of energy audits for which the legal person requests the authorization, accompanied by the documents which attest the ownership right or the right of use of the equipment and/or copy of the lease

contract or bailment contract of measurement equipment signed with an economic operator or an institution which has such equipment.

(4) The documents mentioned in paragraph (1) and para. (3) are presented filed and will be accompanied by:

- a) a title page with the mention "File for request of energy auditor license class II, type.....", name of legal person applicant, registration date of request with ANRE-DEE;
- b) a "Table of contents" page containing the enumeration of documents included in the file in the order mentioned in paragraph (1) and para. (3) with the signature of the applicant.

CHAPTER VII

Granting, change, extension, suspension, withdrawal and issue of duplicate of energy auditor licenses

SECTION 1

Granting of energy auditor license

ART. 24

ANRE-DEE grants the license of energy auditor natural or legal person within 30 days from the publication date of resolutions of Authorization Commission on its website. The validity period of the energy auditor license is 3 years from the granting date.

ART. 25

(1) The decision regarding the refusal of granting the energy auditor license can be contested within 3 days from communication date; the answer of ANRE-DEE to contestation is communicated to the applicant within 10 days from the date of registration.

(2) The results of contestations are published on the website of ANRE-DEE.

(3) The applicants dissatisfied with the results of contestations can address the administrative contentious courts of law, according to the law.

SECTION 2

Changing the energy auditor license

ART. 26

(1) The natural persons and legal authorized persons have the obligation to inform ANRE-DEE on any change of conditions underlying the granting of license.

(2) In case of legal persons the change of license is made based on the document which attests the changes.

(3) The change of energy auditor legal person license is made in the following situations:

- a) change of name of legal person;
- b) change of status and/or form of organization of the legal person;
- c) change of address of registered office of the legal person.

(4) The documents necessary at request of change of energy auditor legal person license are:

- a) request for change of energy auditor license with specification of changes;
 - b) certificate of acknowledgement in original or notarized copy regarding the registration and situation of the economic operator applicant, issued by the National Registrar of Companies 10 days at most before the submission to ANRE-DEE";
 - c) Copy of receipt or banker's draft of the fee for changing the energy auditor legal person license.
- (5) The fee for changing the energy auditor legal person license is approved every year by order of President ANRE.

SECTION 3

Extension of energy auditor license

ART. 27

(1) The validity of energy auditor license is 3 years from the granting date and can be extended only once for 3 years.

(2) The extension of validity is made by Decision of the Head of ANRE-DEE, at the written proposal of the Authorization Commission, based on the following documents:

- a) request for extension presented at least 45 days before the expiry date of validity of license, drawn up according to Annex no. 9 to Regulations, for the energy auditor natural person and according to Annex no.10 to Regulations for the energy auditor legal person;
- b) copy of receipt or banker's draft of the fee for extension of validity of energy auditor license;
- c) the energy auditor license previously held in copy; the applicant legal person has to attach to the file Xerox copies of licenses of energy auditors hired based on contract; as applicable, you will submit the proof of declaration of license loss, published in a national newspaper;
- d) copies of employment contracts of energy auditors hired, attached by the applicant legal person;
- e) technical report of activity of energy auditor natural person, respectively the multiannual Report on the activity of elaboration of energy audits, for the energy auditor legal person, as applicable;
- f) minimum two recommendations issued by the beneficiaries of energy audits elaborated, including the list of measures resulted from audit and which were implemented by the beneficiary, as applicable;
- g) the list of equipment which is the property or use of legal person applicant, necessary for the works specific to energy audits for which the legal person requests authorization, accompanied by the documents which attest the ownership right or right of use of equipment and/or copy of lease contract or bailment contract of measurement equipment signed with an economic operator or an institution which has such equipment;
- h) in case of applicants natural persons hired with employment contract in a legal person authorized as energy auditor, they include in the documentation the list of equipment accompanied by the documents which attest their belonging to the patrimony of legal hiring person.

(3) The documents mentioned in para. (2) are presented filed in the order mentioned in these Regulations and will be accompanied by:

- a) a title page with the mention "File for request of energy auditor license class ..., type.....", name of natural or legal person applicant, registration date of request with ANRE-DEE;
- b) a "Table of contents" page containing the enumeration of documents included in the file in the order mentioned in paragraph (2) with the signature of the applicant.

SECTION 4

Suspension and withdrawal of energy auditor license

ART. 28

(1) Suspension of energy auditor natural or legal person license is made by the Authorization Commission in one of the following situations:

- a) non-observance of Conduct Code of authorized energy auditor, presented in Annex no. 11 to Regulations;
- b) non-observance of minimum criteria set out by art. 5, for elaboration of energy audits;
- c) lack of the minimum number of energy auditors natural persons from the legal person;
- d) non-transmission of Annual Report on the activity of elaboration of energy audits until 30 January of the following year after the year analyzed to the Authorization Commission. The report is transmitted on paper and in electronic format. The content and method of drawing up the report are presented in Annex no. 12 to Regulations.

(2) The suspension of auditor license is made for a period of maximum 60 days.

ART. 29

(1) The complaints of situations set out by art. 29 para. (1) can be made by a natural or legal person or by the energy auditor in question.

(2) The authorization Commission analyses the complaints and notifies the energy auditor in question.

(3) Within 10 days from notification date, if the auditor does not respond to the notice request, the Authorization Commission proposes the head of DEE the suspension of license.

(4) Within 10 days from the proposal date of suspension of license, the head of ANRE-DEE issues the Decision of suspension of energy auditor license which will be communicated to the person in question.

(5) The specialized division of ANRE-DEE makes the mention "suspended license", with specification of suspension period from the decision in the Register of energy auditors legal persons.

(6) The energy auditor for whom a decision of suspension of energy auditor license was issued can make a complaint within 30 days from communication date.

(7) The complaint against the decision to suspend the energy auditor license is submitted to ANRE-DEE and will be settled within 30 days from its submission by a commission for resolution of complaints, created for this purpose.

(8) If within 60 days from the communication of decision for suspension one of the situations which led to the suspension of license persists, the Authorization Commission proposes its withdrawal.

(9) The Head of DEE issues a decision for withdrawal of energy auditor license which will be communicated to the person in question and the specialized division deregisters the person in question from the Register of energy auditors.

(10) The energy auditor for whom a decision of withdrawal of energy auditor license was issued can make a complaint within 30 days from its communication.

(11) The complaint is submitted to ANRE-DEE and will be settled within 30 days from its submission, by a commission for resolution of complaints created for this purpose.

ART. 30

(1) At the withdrawal of energy auditor license of a natural person, ANRE-DEE transmits a suspension notice of energy auditor license to the legal hiring person, if in the given conditions, the total number of authorized energy auditors hired was reduced below the number set out by art. 18 point b).

(2) The energy auditor legal person who received a suspension of license notice notifies ANRE-DEE of the total number of energy auditors natural persons hired within 10 days from the communication of suspension notice.

(3) The suspension of the energy auditor license of the legal hiring person is made within 30 days from the issuing date of decision for withdrawal of energy auditor license of one of the energy auditors authorized in the legal person, if the total number of energy auditors hired was reduced below the number set out by art. 18 point b).

(4) DEE issues a suspension decision based on the proposals received from the Authorization Commission.

ART. 31

The energy auditor license of a legal person is withdrawn by ANRE-DEE within 30 days from the communication of suspension decision, if in this time the total number of authorized energy auditors hired was reduced below the number set out by art. 18 point b).

SECTION 5

Issue of the duplicate of energy auditor license; issue of decision for extension of license

ART. 32

(1) The lost energy auditor license natural or legal person/ the decision of extension of license is declared null and void in a local newspaper, the holder has the right to request the issue of a duplicate.

(2) In view of issuing a duplicate of the energy auditor license as natural or legal person/decision of license extension, the applicant submits to ANRE-DEE the following documents:

- a) request for issue of a duplicate of energy auditor license as natural or legal person or the decision of extension of license, according to the model presented in Annex no. 8 to Regulations;
- b) copy of identity card of the applicant or power of attorney and copy of the identity card of the authorized representative for the legal person;
- c) copy of the announcement published in a local newspaper;
- d) copy of receipt or the banker's draft of the fee for issue of a duplicate of the energy auditor license or the decision of extension of energy auditor license, as applicable.

(3) The fee for issue of duplicate is approved every year by order of President ANRE.

CHAPTER IX

The payment of the authorization fee for energy auditor natural or legal person, the fee for extension of validity of energy auditor license for natural or legal person

ART. 33

The request for issue of the energy auditor license natural or legal person and the request for extension of validity of energy auditor license as natural or legal person generate a financial obligation of the applicant to ANRE, based on fees in force, updated every year by order of President ANRE.

ART. 34

The applicant fully pays the financial obligation directly to ANRE, at the registration of his/her request or before its registration, by transferring the amount in the account of ANRE.

ART. 35

The amounts paid by the applicants in the account of ANRE, as payment of the fee for authorization of energy auditor natural or legal person or the fee for extension of validity of energy auditor license for natural or legal person are not returned if following the analysis of documentation transmitted to ANRE-DEE it is noted that the provisions of these Regulations are not fulfilled.

CHAPTER X

Transitory and final provisions

ART. 36

The licenses of energy auditors natural and/or legal persons issued before the coming into force of these Regulations keep their validity.

ART. 37

Annexes no. 1-12 are part of these Regulations.

ANNEX 1

To Regulations for authorization of energy auditors from industry

MODEL

Of energy auditor license - natural person
No. of

Based on the [Law no. 121/2014](#) for energy efficiency and Regulations for authorization of energy auditors, approved by Decision of the Head of Department for Energy Efficiency from the Romanian Energy Regulatory Authority no....., following the checking of documents transmitted to the Commission for authorization of energy auditors by the applicant, this energy auditor license is awarded to Mr. (Mrs.), profession, residing at town, street no. ..., block, entrance ..., floor ..., flat, county/district, and awards the capacity of:

AUTHORIZED ENERGY AUDITOR CLASS I

ELECTROENERGETIC/THERMOENERGETIC/COMPLEX
(type of energy audit for which he/she is authorized)

The energy auditor license is valid only for the type and class of energy audit mentioned above, serving to prove the technical specialized competence of the holder for the elaboration of energy audits on contractual basis, in the following sectors of activity: industry, transportation, services.

The energy auditor license is valid for 3 years from issuing date.

The extension of validity of energy auditor license is made at request of the holder, with observance of the Regulations in force.

The energy auditor license is nontransferrable.

VICEPRESIDENT,
HEAD OF DEPARTMENT FOR ENERGY EFFICIENCY,
Signature/stamp

ANNEX 2

To Regulations for authorization of energy auditors from industry

MODEL

Of energy auditor license - legal person
No. of

Based on the [Law no. 121/2014](#) for energy efficiency and Regulations for authorization of energy auditors, approved by Decision of the Head of Department for Energy Efficiency from the Romanian Energy Regulatory Authority no.., following the checking of documents transmitted to the Commission for authorization of energy auditors by the applicant, we authorize the legal person....., with the office in, registration number in Registrar of Companies..... and fiscal code

AUTHORIZED ENERGY AUDITOR CLASS II
ELECTROENERGETIC/THERMOENERGETIC/COMPLEX
(type of energy audit for which he/she is authorized)

The energy auditor license is valid only for the type and class of energy audit mentioned above, serving to prove the technical specialized competence of the titular legal person for the elaboration of energy audits on contractual basis, in the following sectors of activity: industry, transportation, services.

The energy auditor license is valid for 3 years from issuing date.

The extension of validity of energy auditor license is made at request of the titular legal person with observance of the Regulations in force.

The energy auditor license is nontransferrable.

VICEPRESIDENT,
HEAD OF DEPARTMENT FOR ENERGY EFFICIENCY,
Signature/stamp

ANNEX 3

To Regulations for authorization of energy auditors in industry

Model of stamp and identity card
For energy auditor natural person

*) Note CTCE:

The model is found in Official Gazette of Romania, Part I, no. 25 bis of 13 January 2015, at pages 56-57 (see the associated image).

ANNEX 4

To Regulations for authorization of energy auditors from industry

DEFINITIONS, SPECIALIZED TERMS AND ABBREVIATIONS

In the meaning of these Regulations, the terms and expressions below have the following meanings:

Complex Audit - energy audit which is based on the complex balance following the accountability of all forms of energy whose flows are monitored in a balance contour.

Electroenergetic Audit - energy audit which is based on the Electroenergetic balance following the accountability of electricity flows.

Thermoenergetic Audit - energy audit which is based on the thermoenergetic balance following the accountability of thermal energy flows and fuels.

Authorization - procedure and activity carried out by the authorization body regarding the analysis, checking and written confirmation of competences of natural or legal persons who want to obtain the capacity of energy auditor, according to these Regulations and the technical regulations in force.

Energy auditor license - act which proves the technical competence of the natural and legal persons who perform energy audits in Romania.

CAEN Code - code of classifications of economic activities in Romania

PFA - self-employed person who carries out any form of economic activity permitted by the law, using mainly his/her own work force; incorporated with the Registrar of Companies.

The significance of other terms and expressions from the Regulations is set out by the [Law no. 121/2014](#) for energy efficiency.

ANNEX 5

To Regulations for authorization of energy auditors from industry

List of equipment which includes minimum devices necessary for
the performance of measurements in the elaboration of energy audits

A. For the elaboration of electroenergetic audits the following equipment is necessary:

1. Three-phased electricity analyzer
2. measurement kit for electric parameters
3. Multimeter
4. Light meter

B. For the elaboration of thermoenergetic audits the following equipment is necessary:

1. Thermovision camera
2. Pyrometer
3. Flue gases analyzer
4. Thermometer with contact probes
5. Ultrasonic flowmeter
6. Thermal hygrometer

ANNEX 6

To Regulations for authorization of energy auditors from industry

T

MODEL

Request for issue of energy auditor license
No. /

NATURAL PERSON - ENERGY AUDITOR CLASS I

TYPE OF AUDIT FOR WHICH THE AUTHORIZATION IS REQUIRED:

☐	☐	☐
ELECTROENERGETIC	THERMOENERGETIC	COMPLEX

PERSONAL INFORMATION

Surname father's initial forename
Date of birth place of birth

Identity act series no. issued by
On
Personal Identification Number
Domicile:
street no. block entrance floor flat
town county
Telephone fax
e-mail
PAYER*).....

*) Information necessary for issue of invoice for the license fee

Street. no. town county
Telephone Fax
e-mail
Name of general manager.....
Registration number in Registrar of Companies/year.....
Fiscal code
Bank account..... opened with Bank.....

I the undersigned request my authorization for class I and type of energy auditor mentioned above and my registration in the Register of energy auditors.
I agree to the publication of my name, my coordinates and details written in license In this Register.

I enclose the file drawn up according to the provisions of Regulations.
All the information provided is correct.

I took knowledge of the provisions of Conduct Code of energy auditor and I will act for their observance in the activities of elaboration of energy audits.
I took knowledge that according to the provisions of art. 35 from these Regulations the amounts paid by the applicants in the bank account of ANRE, as payment of the fee for authorization of energy auditor natural or legal person or the fee for extension of validity of energy auditor license as natural or legal person are not returned if following the analysis of documentation transmitted to ANRE - Department for Energy Efficiency it is noted that the provisions of these Regulations are not fulfilled.

EDUCATION, TRAINING COURSES
You will attach copies of graduation diplomas

No. Crt.	Period	Diploma obtained	Institution that issued the diploma

CURRENT PROFESSIONAL SITUATION
Profession length of service
Position..... length of service.....
Responsibilities
.....

PROFESSIONAL EXPERIENCE

No. crt.	From year	Until	Name of economic operator	Position held	Responsibilities

Signature of applicant Date

To:

ANRE-Department for Energy Efficiency

Commission of authorization of energy auditors

ST

ANNEX 7
To Regulations for authorization of energy auditors from industry

T

MODEL
of request for issue of energy auditor license
No. /.....

LEGAL PERSON - ENERGY AUDITOR CLASS II

Legal person (name),
.....
Fiscal code incorporated with Registrar of Companies under no.

With the office in street no. county/district
..... telephone/fax. e-mail
Legally represented by manager (surname and forename)
Bank account opened with Bank

TYPE OF AUDIT FOR WHICH AUTHORIZATION IS REQUIRED:

☐ ☐ ☐
ELECTROENERGETIC THERMOENERGETIC COMPLEX

I, the undersigned as delegated representative *) request the issue of
Energy auditor license for the legal person:
..... for class II and
Type of energy audit mentioned and registration of the legal person I represent
In the Register of energy auditors. I agree to the publication of the name of
Legal person, coordinates and details written in license in this Register.
I enclose the file drawn up according to the provisions of Regulations in
force. All the information provided is accurate.
I took knowledge of the provisions of Conduct Code of energy auditor and I will act
for their observance in the activities of elaboration of energy audits.
I took knowledge that according to the provisions of art. 35 from these
Regulations the amounts paid by the applicants in the bank account of ANRE, as payment
of the fee for authorization of energy auditor natural or legal person or the fee
for extension of validity of energy auditor license as natural or legal person
are not returned if following the analysis of documentation transmitted to ANRE
- Department for Energy Efficiency it is noted that the provisions of these
Regulations are not fulfilled.

Date Signature and stamp of legal person
(name, position)

To:

ANRE-Department for Energy Efficiency

Commission for authorization of energy auditors

*) NOTE: The legal person will be represented in the relationship with the authorization body by an
authorized representative.

ST

ANNEX 8
To Regulations for authorization of energy auditors from industry

T

Request for issue of a duplicate

☐ of the energy auditor license no...../.....
☐ of the decision of extension no...../of energy auditor license
no...../.....
(check by sign "X" the case corresponding to request)

I, the undersigned: Surname, father's initial,
forename, date of birth, place of birth,
identity act series no., issued by.....
on, personal identification number Domicile.....
....., telephone/fax/e-mail

Workplace
Street no. town county
Telephone/fax/e-mail

Please approve the issue of a DUPLICATE of:
☐ energy auditor license no./
☐decision of extension no./
no./
(check by sign "X" the case corresponding to request)

Reason for which I request the issue of duplicate:
.....
.....

Date: Signature:

To ANRE-Department for Energy Efficiency

Commission for attestation of energy managers

ST

ANNEX 9

To Regulations for authorization of energy auditors from industry

T

MODEL
Of request for extension of validity of
Energy auditor license
No./.....

NATURAL PERSON - ENERGY AUDITOR CLASS I

TYPE OF AUDIT FOR WHICH THE EXTENSION OF LICENSE IS REQUIRED:

☐ ELECTROENERGETIC ☐ THERMOENERGETIC ☐ COMPLEX

Surname father's initial forename
Date of birth place of birth
Identity act series no. issued by
On
Personal Identification Number
Domicile:
street no. block entrance floor flat
town county
Telephone fax
e-mail
PAYER*).....

*) Information necessary for the issue of the fee for extension of energy auditor license

Street. no. town county
Telephone Fax
e-mail
Name of general manager.....
Registration number in Registrar of Companies/year.....
Fiscal code
Bank account..... opened with Bank.....

I the undersigned request my authorization for class I and type of energy auditor mentioned above and my registration in the Register of energy auditors.
I agree to the publication of my name, my coordinates and details written in license In this Register.

I enclose the file drawn up according to the provisions of Regulations.
All the information provided is correct.

I took knowledge of the provisions of Conduct Code of energy auditor and I will act for their observance in the activities of elaboration of energy audits.
I took knowledge that according to the provisions of art. 35 from these Regulations the amounts paid by the applicants in the bank account of ANRE, as payment of the fee for authorization of energy auditor natural or legal person or the fee for extension of validity of energy auditor license as natural or legal person are not returned if following the analysis of documentation transmitted to ANRE - Department for Energy Efficiency it is noted that the provisions of these Regulations are not fulfilled.

Signature of applicant Date

To:

ANRE-Department for Energy Efficiency

Commission for authorization of energy auditors

ST

ANNEX 10

To Regulations for authorization of energy auditors from industry

T

MODEL
Of request for extension of validity of
Energy auditor license
No./.....

LEGAL PERSON - ENERGY AUDITOR CLASS II

Legal person (name),
.....
Fiscal code incorporated with Registrar of Companies under no.
With the office in street no. county/district
..... telephone/fax. e-mail
Legally represented by manager (surname and forename)
Bank account opened with Bank

TYPE OF AUDIT FOR WHICH THE EXTENSION OF LICENSE IS REQUIRED:

[] [] []

ELECTROENERGETIC THERMOENERGETIC COMPLEX

I, the undersigned as delegated representative *) request the extension of validity of Energy auditor license for class II and the type mentioned above, for the legal person:

.....
and the maintenance of the registration of the legal person I represent
In the Register of energy auditors. I agree to the publication of the name of legal person, coordinates and details written in license in this Register.

I enclose the file drawn up according to the provisions of Regulations in force. All the information provided is accurate.

I took knowledge of the provisions of Conduct Code of energy auditor and I will act for their observance in the activities of elaboration of energy audits.

I took knowledge that according to the provisions of art. 35 from these Regulations the amounts paid by the applicants in the bank account of ANRE, as payment of the fee for authorization of energy auditor natural or legal person or the fee for extension of validity of energy auditor license as natural or legal person are not returned if following the analysis of documentation transmitted to ANRE - Department for Energy Efficiency it is noted that the provisions of these Regulations are not fulfilled.

Date Signature and stamp of legal person
(name, position)

To:

ANRE-Department for Energy Efficiency

Commission for authorization of energy auditors

*) NOTE: The legal person will be represented in the relationship with the authorization body by a delegated representative.

ST

ANNEX 11

To Regulations for authorization of energy auditors in industry

CONDUCT CODE OF AUTHORIZED ENERGY AUDITOR

The realization of energy audits is carried out based on the principles stated below, whose observance by the energy auditors authorized as natural and legal persons is compulsory, for avoidance of incompatibilities and/or conflicts of interests which could be generated in the carrying out of this activity.

The authorized energy auditor:

1. Acts professionally without discrimination.
2. Acts for increasing own competence and assist the subordinates to develop the communication skills in the activity of realization of energy audits.
3. does not act as representative of manufacturers of energy equipment.
4. keeps confidentiality of data obtained or resulted from the energy audit, unless he is obliged to make it public in the conditions of the law or obtains the written consent of the beneficiary of energy audit.
5. does not communicate wrong information which compromises the activity of realization of energy audit or the authorization process.
6. does not prejudice by his activities the reputation of the authorization body.

ANNEX 12

To Regulations for authorization of energy auditors in industry

Framework content
of the Annual Report on the activity of elaboration
of energy audits by authorized legal persons
And self-employed

The Report will have the following content:

- a) The printed form will contain:
1. identification data of authorized auditor, legal person or self-employed;
 2. chronological list of energy audits made in the reported year and their beneficiaries;
 3. Audit Sheet (will contain the main technical elements of each audit);

4. Notes regarding the opportunity of application of measures with a high degree of replicability and significant increase of energy efficiency.

b) The electronic form will contain:

Table 1
To Annual Report on the activity of elaboration of energy audits

T

No. crt.	Energy auditor legal person	Beneficiary of energy audit	Type of energy audit		
			Electroenergetic audit	Thermoenergetic audit	Complex audit
1.					
2.					
.					
.					
n					

ST

Table 2
To Annual Report for activity of elaboration of energy audits

T

No. Crt.	Beneficiary of energy audit	Field of activity of beneficiary	Contour of energy audit	The main measures of energy efficiency proposed		
				Name of measure	Energy savings estimated (tep)	Cost of implementation thousand RON

ST

Framework content of Audit Sheet

The framework sheet must contain the following information:

1. Type of energy audit
2. Real audit:

a) audit contour and enumeration of the technological processes in function;

b) total real consumption of fuels and energy for the previous year to the audit year, corresponding to the audit contour;

c) specific consumption indicators of fuels and energy;

d) conclusions regarding the existing situation.
3. Optimized audit:

Note: For this type of audit you will present similar information to the information mentioned above for real audit.
4. Measures proposed for increasing the energy efficiency grouped by categories and technical economic estimation of their application (specific consumptions of fuels and energy, energy yields, duration of investment recovery, internal rate of return).

Subsemnata **TOȘA Georgeta**, interpret și traducător autorizat pentru limbile engleză și franceză, în temeiul autorizației nr. **9375/31.07.2003**, eliberată de Ministerul Justiției din România, certific exactitatea traducerii efectuate din limba română în limba engleză, că textul prezentat a fost tradus complet, fără omisiuni, și că, prin traducere, înscrisului nu i-au fost denaturate conținutul și sensul.

INTERPRET ȘI TRADUCĂTOR AUTORIZAT,